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Express Mail Label No. EV 303534223 US

U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
22981/7-CIP

Total Pages in this Submission  
111

## TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**NITRIC OXIDE DONATING DERIVATIVES FOR THE TREATMENT OF CARDIOVASCULAR DISORDERS**

and invented by:

**Joseph Tucker; David McCaffrey; and Norman C.W. Wong**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/762,796

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/222,013

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 105 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☐ Brief Description of the Drawings (if filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

17613 U.S. PTO  
10/807800

032404

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.

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**Application Elements (Continued)**

3. ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal      Number of Sheets \_\_\_\_\_
- b. ☐ Informal      Number of Sheets \_\_\_\_\_
4. ☐ Oath or Declaration
- a. ☐ Newly executed (original or copy)      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail (Specify Label No.): EV 303534223 US

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
22981/7-CIP

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**Accompanying Application Parts (Continued)**

17. ☒ Applicant claims small entity status. See 37 CFR 1.27.

☒ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: 1

18. ☐ Additional Enclosures (please identify below):

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

19 ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
22981/7-CIPTotal Pages in this Submission  
111**Fee Calculation and Transmittal****CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	15	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$43.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>BASIC FEE</b>					\$385.00
<b>OTHER FEE (specify purpose)</b> _____					\$0.00
<b>TOTAL FILING FEE</b>					\$385.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0369 as described below.
- ☒ Charge the amount of \$385.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: March 24, 2004

Signature

John C. Serio, Reg. No. 39,023  
Attorney(s) for Applicant  
Brown Rudnick Berlack Israels LLP  
One Financial Center, Box IP  
Boston, MA 02111  
Customer No.: 21710  
Tel.: 617-856-8238  
Fax: 617-856-8201

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR §1.10)**

Docket No. 22981/7-CIP

APPLICANT(S):

Tucker et al.

TITLE OF THE INVENTION:

*NITRIC OXIDE DONATING DERIVATIVES FOR THE TREATMENT OF  
CARDIOVASCULAR DISORDERS*

FILING DATE: HEREWITH

APPLICATION NUMBER (if known): UNKNOWN

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify that the following correspondence:

*Transmittal letter (4 pgs.); Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small  
Entity Assertion (1 pg.). Please charge Deposit Account No. 50-0369 in the amount of  
\$385.00.*

is being deposited with the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT  
APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

March 24, 2004  
Date

Sandra Wittrup  
Person Mailing Correspondence

*Sandra Wittrup*  
Signature

EV 303534223 US  
Express Mail No.

**APPLICATION FOR PATENT (Small Entity Assertion)**

Express Mail No: EV 303534223 US

Docket No. 22981/7-CIP

INVENTOR(S): Tucker et al.

TITLE OF THE INVENTION:

NITRIC OXIDE DONATING DERIVATIVES FOR THE TREATMENT OF  
CARDIOVASCULAR DISORDERS

FILING DATE: Herewith

Serial Number (if known): Not Yet Assigned

MAIL STOP PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450


**SMALL ENTITY ASSERTION**

Sir:

Applicant claims small entity status under 37 CFR 1.27 for the above-identified patent application.

Respectfully Submitted,

Date: 3-24-04

  
\_\_\_\_\_  
John C. Serio, Reg. No. 39,023  
Customer No 21710  
Intellectual Property Department  
Brown Rudnick Berlack Israels LLP  
One Financial Center, Box IP  
Boston, MA 02111  
Tel: 617-856-8238  
Fax: 617-856-8201